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(11)

EP 1 180 978 B1

(12)

EUROPEAN PATENT SPECIFICATION

(45) Date of publication and mention
of the grant of the patent:
11.05.2005 Bulletin 2005/19

(51) Int. Cl.⁷: **A61B 17/56, A61F 2/44**

(86) International application number:
PCT/US20/00014708

(21) Application number: **00932801.4**

(87) International publication number:
WO 20/01010316 (15.02.2001 Gazette 2001/07)

(22) Date of filing: **30.05.2000**

(54) APPARATUS FOR TREATING DISC HERNIATION

VORRICHTUNG ZUR BEHANDLUNG VON HERNIA AN BANDSCHEIBEN

APPAREIL PERMETTANT DE TRAITER LES HERNIES DISCALES

(84) Designated Contracting States:
**AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU
MC NL PT SE**

Cincinnati, OH 45208 (US)

(30) Priority: **28.05.1999 US 322516**

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(43) Date of publication of application:
27.02.2002 Bulletin 2002/09

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WO-A-98/20939 WO-A-99/02108
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US-A- 5 645 597 US-A- 5 746 765
US-A- 5 824 093

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DescriptionField of the Invention

[0001] This invention relates generally to the prosthetic appliances and, in particular, to devices for occluding intervertebral disc defects and instrumentation associated with introducing the such devices.

Background of the Invention

[0002] Several hundred thousand patients undergo disc operations each year. Approximately five percent of these patients will suffer recurrent disc herniation, which results from a void or defect which remains in the outer layer (annulus fibrosis) of the disc after surgery involving partial discectomy.

[0003] WO-A-9820939 relates to a method and related composition and apparatus for repairing a tissue site. The method involves the use of a curable polyurethane biomaterial composition having a plurality of parts adapted to be mixed at a time of use in order to provide flowable composition and to initiate cure. The flowable composition can be delivered using minimally invasive means to a tissue site and they are fully cured to provide a permanent and biocompatible prosthesis for repair of the tissue site. Furthermore, there is provided a mould apparatus for example in the form of a balloon or tubular cavity, for receiving a biomaterial composition and a method for delivering and filling the mould apparatus with a curable composition *in situ* to provide a prosthesis for tissue repair.

[0004] US-A-5645597 relates to a method for replacing a nucleus pulposus of an intervertebral disc. The method is achieved by removing the nucleus pulposus from the intervertebral disc to create a space defined by an inner wall of an annulus fibrosis. A flexible prosthetic disc is then inserted within the space formerly occupied by the nucleus pulposus and the prosthetic disc is subsequently filled with a gel.

[0005] Reference is made to Figure 1A, which illustrates a normal disc as viewed from the feet of a patient up toward the head. The nucleus pulposus 102 is entirely surrounded by the annulus fibrosis 104 in the case of healthy anatomy. Also shown in this cross section is the relative location of the nerves 106. Figure 1B illustrates the case of the herniated disc, wherein a portion of the nucleus pulposus has ruptured through a defect in the annulus fibrosis, resulting in a pinched nerve 110. This results in pain and further complications, in many cases.

[0006] Figure 1C illustrates the post-operative anatomy following partial discectomy, wherein a space 120 remains adjacent a hole or defect in the annulus fibrosis following removal of the disc material. The hole 122 acts as a pathway for additional material to protrude into the nerve, resulting in the recurrence of the herniation. Since thousands of patients each year require surgery to treat this condition, with substantial implications in terms of the cost of medical treatment and human suffering, any solution to this problem would be welcomed by the medical community.

Summary of the Invention

[0007] According to a first aspect of the present invention there is provided a device for preventing the escape of natural, artificial, or therapeutic material through a defective region in an annulus fibrosis of a spinal disc, and for preventing disc herniation,

said device having a first physical extent facilitating introduction of the device relative to the defective region in the annulus fibrosis, and

a predetermined second physical extent forming the final shape of the device, different from the first, wherein the device is composed of material that naturally returns to the predetermined second physical extent and which functions to occlude the defective region by expanding from the first physical extent to the second physical extent; and

wherein no further steps are required to form the predetermined second physical extent which forms the final shape of the device.

[0008] The subject invention resides in apparatus for treating disc herniation, which may be defined as the escape of nucleus pulposus through a void or defect in the annulus fibrosis of a spinal disc situated between upper and lower vertebra. In addition to preventing the release of natural disc materials, the invention may also be used to retain bone graft for fusion, therapeutic and artificial disc replacement materials. The invention is particularly well suited to the minimization and prevention of recurrent disc herniation, in which case the defect is a hole or void which remains in the annulus fibrosis following disc operations involving partial discectomy.

[0009] In broad, general terms, to correct defects of this type, the invention provides a conformable device which assumes a first shape associated with insertion and a second shape or expanded shape to occlude the defect. The device may take different forms according to the invention, including solidifying gels or other liquids or semi-liquids, patches sized to cover the defect, or plugs adapted to fill the defect.

[0010] The device is preferably collapsible into some form for the purposes of insertion, thereby minimizing the size of the requisite incision while avoiding delicate surrounding nerves. Such a configuration also permits the use of instrumentation to install the device, including, for example, a hollow tube and a push rod to expel the device or liquefied material out of the sheath for use in occluding the disc defect.

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[0011] A device according to the invention may further include one or more anchors to assist in permanently affixing the device with respect to the defect. For example, in the embodiment of a mesh screen, the anchors may assume the form of peripheral hooks configured to engage with the vertebra on either side of the disc. The invention further contemplates a distracting tool used to force the anchors into the vertebra. Such a tool would preferably feature a distal head portion conformal to the expanded shape of the device, enabling the surgeon to exert force on the overall structure, thereby setting the anchors.

Brief Description of the Drawings

[0012]

FIGURE 1A is a cross section of a human disc exhibiting normal anatomy;

FIGURE 1B is a cross section used to illustrate a disc herniation;

FIGURE 1C is a drawing of a disc following a partial discectomy, showing how a space or void remains in the annulus fibrosis;

FIGURE 2 is a drawing which illustrates a preferred embodiment of the invention in the form of a flexible stent used to occlude a defect in the annulus fibrosis to minimize recurrent disc herniation;

FIGURE 3A is a drawing of an applicator used to insert the flexible mesh stent embodiment of Figure 2;

FIGURE 3B shows the applicator of Figure 3A with the stent partially expelled;

Figure 3C illustrates a fully expanded shape assumed by the device of Figure 2 following removal of the insertion tool;

FIGURE 4A illustrates the addition of optional peripheral anchors around the stent in the Figure 4 to assist in fixation;

FIGURE 4B is an end view of the device of Figure 4A including the peripheral anchors;

FIGURE 5 is a side-view drawing of the device of Figures 4A and 4B anchored into upper and lower vertebra bounding the herniated disc;

FIGURE 6A illustrates an optional distraction tool used to set the anchors of the device of Figures 4 and 5 into the vertebra;

FIGURE 6B shows how the distracting tool would be inserted into the device to effectuate distraction;

FIGURE 7A is a side-view drawing in partial cross-section illustrating the way in which notches may be made to adjoining vertebra to receive a device according to the invention;

FIGURE 7B is a drawing of a tool which may be used to form the notches depicted in Figure 7A;

FIGURE 7C illustrates the way in which a flexible body may be retained by the notches described with respect to Figures 7A and 7B;

FIGURE 8 illustrates an alternative orientation of a flexible body having a convex surface facing outwardly with respect to the wall of the disc being repaired;

FIGURE 9A illustrates how the device according to the invention may be fixed with anchors that penetrate through the disc to be captured at the outer wall thereof;

FIGURE 9B illustrates an alternative use of anchors which remain within the body of the disc material and do not penetrate its outer wall;

FIGURE 9C illustrates an alternative method of fixation, wherein bone anchors are introduced into the vertebrae on either side of the disc in need of repair, as opposed to anchors deployed within or through the disc itself;

FIGURE 10A illustrates an alternative device according to the invention in the form of a resilient plug;

FIGURE 11A illustrates an alternative embodiment of the invention wherein a coiled wire is used to occlude a disc defect;

5 FIGURE 11B is a side-view representation of the coiled wire of Figure 11A;

FIGURE 11C illustrates how a wire with a coiled memory shape may be straightened and introduced using a plunger-type instrument;

10 FIGURE 12 illustrates yet a different alternative embodiment of the invention wherein a material in liquid or gel form may be introduced into a defect, after which it hardens or solidifies to prevent further rupturing;

FIGURE 13A illustrates yet a further alternative embodiment of the invention, in the form of a stent having a plurality of leaves;

15 FIGURE 13B illustrates the alternative of Figure 13A, wherein the leaves assume a second shape associated with defect occlusion, preferably through memory affect;

FIGURE 14A illustrates an aspect of the invention wherein a conformable device is suspended within a gel or other resilient material for defect occlusion;

20 FIGURE 14B is a side-view drawing of the embodiment of Figure 14A;

FIGURES 15A-15E are drawings which show various different alternative embodiments according to the invention wherein a patch is used inside and/or outside of a void in need of occlusion;

25 FIGURE 16A is a top-view, cross-sectional drawing of a version of the invention utilizing posts or darts and sutures;

FIGURE 16B is a side-view drawing of the embodiment of Figure 16A;

30 FIGURE 17A shows how posts or darts may be criss-crossed to form a barrier;

FIGURE 17B is a side-view drawing of the configuration of Figure 17A;

35 FIGURE 18A is a side-view drawing of a barbed post that may be used for occlusion according to the invention;

FIGURE 18B is an on-access view of the barbed post;

FIGURE 18C illustrates how a single larger barbed post may be used for defect occlusion;

40 FIGURE 18D illustrates how the barbed post of Figures 18A and 18B may be used in plural fashion to occlude a defect;

FIGURE 19A is a drawing which shows how shaped pieces may be inserted to close off an opening;

45 FIGURE 19B continues the progression of Figure 19A, with the pieces being pulled together;

FIGURE 19C illustrates the pieces of Figures 19A and 19B in a snapped-together configuration;

50 FIGURES 20A-20E are a progression of drawings which show how a shaped body may be held into place with one or more wires to block off a defect;

FIGURES 21A-21C illustrate how wires may be used in conjunction with snap-on beads to occlude a defect;

FIGURE 22A illustrates the insertion of members adapted to receive a dam component;

55 FIGURE 22B illustrates the dam of Figure 22A locked into position;

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FIGURE 23A illustrates one form of defect block that accommodates compression and distraction;

FIGURE 23B shows the device of Figure 23A in compression;

FIGURE 23C shows the device of Figure 23A in distraction;

5 FIGURE 23D illustrates the way in which the device of Figures 23A-23C, and other embodiments, may be tacked into place with respect to upper and lower vertebrae;

FIGURE 24A is a drawing which shows an alternative device that adjusts for compression and distraction, in the form of a resilient dam,

10 FIGURE 24B shows the resilient dam in compression;

FIGURE 24C shows the resilient dam in distraction;

15 FIGURE 25 illustrates a different configuration for the insertion of a resilient dam according to the invention;

FIGURE 26 illustrates an alternative Z-shaped dam of resilient material;

20 FIGURE 27A illustrates the use of interlocking fingers that permit compression and distraction while occluding a defect;

FIGURE 27B is a side-view drawing in cross-section of the configuration of Figure 27;

25 FIGURE 28A illustrates an alternative interlocking finger configuration, and the way in which such members are preferably installed;

FIGURE 28B shows how the first of the multiple members of Figure 28A is installed;

FIGURE 29A is a side-view drawing of a non-contained silicon blocking member prior to distraction;

30 FIGURE 29B illustrates the way in which the device of Figure 29A deforms upon distraction;

FIGURE 30A is a side-view drawing in cross-section illustrating a contained silicon structure prior to distraction; and

35 FIGURE 30B illustrates how the contained silicon structure of Figure 30A remains essentially the same in shape upon distraction.

Detailed Description of the Invention

40 **[0013]** Having discussed the problems associated with post-operative partial discectomy with respect to Figures 1A-1C, reference will now be made to Figure 2, which illustrates a preferred embodiment of the invention, wherein a device in the form of a stent 202 is used to occlude a defect 204 in a human disc, as shown. In this preferred embodiment, the device is composed of a flexible material, which may be cloth, polymeric or metallic. For reasons discussed below, a titanium mesh screen is preferred with respect to this embodiment of the invention.

45 **[0014]** A flexible device is also preferred because the surgeon is presented with a very small working area. The incision through the skin is typically on the order of 1 to 1.5 inches in length, and the space at the disc level is approximately 1 centimeter on the side. As a consequence, the inventive device and the tools associated with insertion and fixation described below must be sufficiently narrow to fit within these confines.

50 **[0015]** As shown in Figures 3A-3C, a flexible screen enables the device to be collapsed into an elongated form 302, which, in turn, facilitates introduction into a sheath 304 associated with insertion. A push rod 306 may then be introduced into the other end of the sheath 304, and either the sheath pulled backwardly or the push rod pushed forwardly, or both, resulting in the shape shown in Figure 3C, now suitable for implantation.

55 **[0016]** To further assist in fixation with respect to the surrounding physiology, anchors 402 may be provided around a peripheral edge of the device, as shown in Figure 4A. Figure 4B shows an end view of the device of Figure 4A, and Figure 5 illustrates the device with anchors generally at 500, being fixed relative to a defective disc 504 bounded by upper and lower vertebrae at 502. It will be apparent to those of skill that each of the devices disclosed herein may be made in different sizes, having varying peripheral dimensions, for example, to match

differently sized defects.

[0017] Figure 6A and 6B illustrate how a distracting tool 602 may be used to force the anchors into the vertebrae. That is, having introduced the device into the approximate area, the tool 602, having a forward shape corresponding to that of the expanded mesh shape, may be introduced therein, as shown in Figure 6B. With force being applied to the tool 602, the anchors may be permanently set into the surrounding bone/ tissue.

[0018] Figure 7A illustrates an alternative approach to fixation, wherein one or more notches 700 may be made into the upper and lower vertebra, preferably through the use of an air-operated drill 704 shown in Figure 7B, having a cutting wheel 702 adapted for such a purpose. Figure 7C illustrates the way in which a flexible body 708 may be retained by the notches 700 described with respect to Figures 7A and 7B. Figure 8 illustrates an alternative orientation of a flexible body having a convex surface facing outwardly with respect to the wall of the disc being repaired.

[0019] Figure 9A illustrates a further alternative associated with fixation wherein anchors 902 which penetrate the outer wall of the disc 905 are used to hold a flexible repair device 900 in place as shown. Figure 9B shows yet a further alternative fixation modality, wherein disc anchors 906, which do not penetrate the outer wall of the disc, but, rather remain there within, are used to hold the device 904 in place.

[0020] Figure 9C illustrates yet a further alternative mode of fixation, wherein anchors 908 are used to hold the device to upper and lower vertebra, as opposed to the anchors of Figures 9A and 9B, which are used with respect to the disc. Regardless of whether fixation takes place within the vertebra or within the disc, it will be noted that according to the preferred embodiment of the invention, both the device used to occlude the defect and the fixation means are sufficiently flexible that the defect remains occluded with movement of the spine, that is, with the patient leaning forwardly and backwardly which will tend to change the spacing between the upper and lower vertebra.

[0021] Figure 10 illustrates yet a different embodiment of the invention wherein, as opposed to a piece of flexible material or mesh, a resilient plug 1002 is instead utilized to occlude the disc defect. As in the case of the flexible sheath-like embodiments described above, such plugs are preferably offered in different sizes to correlate with differently sized defects.

[0022] In terms of a preferred material, a device according to the invention will therefore remain sufficiently flexible during movement while being capable of exerting continuous outward forces and withstanding repetitive compression and distraction of millions of cycles. The device would, therefore, preferably be made of a material that has these characteristics, while, additionally being radio-opaque for X-ray imaging, without producing too many unwanted artifacts in magnetic resonance imaging. A wire mesh of titanium is therefore preferable, since this has the proper X-ray/MRI characteristics while exhibiting the requisite flexibility for the cyclic flexion and extension. With respect to the embodiment of Figure 10, a resilient, rubber-like material may be used to occlude the defect as shown in the drawing from a side-view perspective.

[0023] The invention is not limited in the sense that any conformable device may be used with a first shape permitting the device to be introduced into the defective area and a second shape wherein the device includes a defect. As shown in Figures 11A-11C, for example, a wire 1102 having a "memory effect" may be used, preferably having a final diameter which is larger than void 1104. Figure 11B shows the coil 1102 in cross-section between upper and lower vertebra. Preferably, this embodiment would use a metal wire that may be straightened, but retain the memory of its coiled shape. As such, the apparatus of Figure 11C may be used to introduce the wire in straightened form 1108 with a plunger 1110, such that as the wire exits at 1106, it returns to its memorized state of a coil (or alternative second shape operative to include the defect).

[0024] As yet a different alternative mode of introduction, a material may be injected into the disc in liquid form, then allowed to hardened into a size sufficient to occlude the annular hole. As shown in Figure 12, material 1202 may be injected into the void of the disc space using a plunger 1204 inserted into a tube 1206. Upon introduction in this manner, the liquid would then solidify, forming a resilient plug.

[0025] Various materials may be utilized for this purpose, including various polymers which are caused to solidify by various means, including thermal or optical activation, or chemical reaction as part of multi-part compounds. A preferred material with respect to this embodiment would be a hydrogel. Hydrogels may be placed into the disc in a dehydrated state, and, once inside the disc, they imbibe water. After hydration, hydrogels have the same biomechanical properties as a natural nucleus and, in addition, as the hydrogels swell, they become too large to extrude back through the annular window. Patent Nos. 5,047,055 and 5,192,326 provide a listing of hydrogels, certain of which are applicable to this invention.

[0026] An elastomer may be used as an alternative to a hydrogel or other material. A number of elastomers may be suited to the invention, including a silicon elastomer, which comprises a cured dimethylsiloxane polymer and Hexsyn, having a composition of one-hexane with three to five percent methylhexaiene. A preformed elastomer may be inserted into the inclusion upon curing or, alternatively, as discussed with reference to Figure 12, may be injected into the disc space and liquid form. Chemicals may be added to accelerate curing, as discussed above, or, a hot or cold probe, or UV light may be introduced to facilitate or accelerate the curing process. Preferably, such materials would include a radio-opaque additive which would enable the physician to verify the position of the implant with an

X-ray. Ideally, the radio-opaque additive would not change the mechanical properties of the gel or elastomer, and would ideally incorporate contrast throughout to enhance detail.

[0027] Now making to Figures 13 and 14, Figures 13A and 13B illustrate an alternative type of stent having leaves or other appendages that may be folded into a compact state for insertion, Figure 13A, and which expand, through memory effect, for example, to a state such as that shown in Figure 13B. A stent such as this, as well as other devices disclosed herein such as the coil form of Figure 11, may be used in conjunction with a gel or other void-filling material as described above. As shown in Figure 14A, a stent 1402 of the type shown with respect to Figure 13B, may be introduced into the void, after which the remaining volume of the void may be filled with a material 1404 which solidifies into a resilient material. Figure 14B is a side-view drawing of the embodiment of Figure 14A. An expandable stent of this kind may be incorporated into the elastomer or other resilient material to help prevent migration of the prosthesis through the annular hole. In contrast to embodiments of the invention wherein a stent is used independently, in this particular embodiment, the stent would preferably not touch vertebra, since it would be surrounded entirely by the elastomer or other gel material.

[0028] Figures 15A-15E illustrate various alternative embodiments according to the invention wherein a patch material is used inside, outside, or partially inside and outside of a defect to be blocked. Figure 15A illustrates a flat patch attached onto the outside of the disc. Figure 15B illustrates a patch attached on the outside but wherein a central portion extends inwardly into the void. Figure 15C illustrates a patch disposed within the disc to block the defect. Figure 15D illustrates how a patch may be anchored to the bone above and below the disc, and Figure 15E illustrates how the patch may be anchored to the disc itself. The patch material may be a fiber, including natural materials, whether human, non-human or synthetic; an elastomer; plastic; or metal. If a fiber material is used, it may be selected so as to promote tissue in-growth. Growth of a patient's tissue into the material would assure a more permanent closure of the annular window. The patch may be attached within appropriate means, including stitches, staples, glue, screws or other special anchors.

[0029] In addition to the use of patches attached with sutures, staples or other materials, the annular defect may be closed with staples or other devices which attach to the annulus without the need for patch material. For example, as shown in Figure 16A, darts 1602 may be inserted through the wall of the annulus 1604, then linked with sutures 1606, preferably in woven or criss-crossed fashion, as shown in Figure 16B. As an alternative, appropriately shaped darts 1702 may be criss-crossed or otherwise interlocked to close the annular hole, as shown in the top-view cross-section drawing of Figure 17A or a side-view of Figure 17B.

[0030] The use of flexible stents as described elsewhere herein may take on other forms, as shown in Figures 18A-18D. The device of Figure 18A, for example, preferably includes a body 1802, preferably including a blunt anterior end to prevent penetration of the anterior annulus, and outer spikes 1806, preferably having different lengths, as best seen in the on-axis view of Figure 18B. Such a stent configuration may provide more areas of contact with the vertebral end plates, thereby decreasing the chances of stent extrusion. As shown in Figure 18C, the longer spikes 1806 are configured to bend during insertion, thereby preventing posterior extrusion. The shorter spikes, 1806', are sized so as not to engage the vertebrae, and therefore may be made thicker to prevent deflection by disc material. As an option, the shorter spikes 1806' may also be angled in the opposite direction as compared to the longer spikes 1806 to resist migration of the disc material. As yet a further option, the longer spikes may vary in length on the same stent so as to be conformal to the vertebral end plate concavity. As shown in Figure 18D, multiple spike stents of this kind may be inserted so as to interlock with one another, thereby preventing migration of the group.

[0031] As shown in Figures 19A-19C, shapes other than spiked stents may be used in interlocking fashion. In Figure 19A, a first piece 1902 is inserted having a removable handle 1904, after which pieces 1902' and 1902'' are inserted, each having their own removable handles, as shown. In Figure 19B, the handles are pulled so as to bring the pieces together, and in Figure 19C, the handles are removed, and the pieces are either snapped together or, through the use of suitable material, sutured into place. Figures 20A-20E illustrate a different configuration of this kind, wherein a body 2002 having anchor or wire-receiving apertures 2004 is inserted into the annular hole, as shown in Figure 20B, at which time a wire 2006 is inserted through the body 2002 as shown in Figure 20C. As shown in Figure 20D, the wire is installed sufficient to lock one portion of the body into place, and this is followed with a wire on the opposite side, thereby holding the body 2002 in a stabilized manner. It will be appreciated that although multiple wires or anchors are used in this configuration, bodies configured to receive more or fewer wires or anchors are also anticipated by this basic idea.

[0032] Figures 21A-21C illustrate a different alternative, wherein wires 2102 each having a stop 2104 are first inserted through the annular window, after which blocking beads having snap-in side configurations are journaled onto the wire across the annular hole, as shown in Figure 21B. Figure 21C illustrates how, having locked multiple beads onto the wire, the defect is affectively occluded. Figures 22A and 22B illustrate the use of a removable dam component. As shown in Figure 22A, bodies 2202, each having removable handles 2204, are first inserted on the side portions of the defect, each member 2202 including slots, grooves or apertures 2206, configured to receive a dam 2210, which may be made of a rigid or pliable material, depending upon vertebral position, the size of the defect, and other factors. Figure 22B illustrates the dam 2210 locked in position.

[0033] Certain of the following embodiments illustrate how the invention permits the use of a flexible device

which allows movement between the vertebrae yet blocks extrusion of nucleus through an annular hole or defect. In Figure 23A, for example, a flexible element 2302 is tacked into position on the upper vertebrae, as perhaps best seen in Figure 23D, though it should be apparent that a fixation to the lower vertebrae may also be used. Figure 23B illustrates how, once the member 2302 is fastened in place, it may flex under compression, but return to a more elongated shape in distraction, as shown in Figure 23C. The blocking element 2302 may be made from various materials, including shape-memory materials, so long as it performs the function as described herein. Figure 24A illustrates a different configuration, which is tacked to both the upper and lower vertebrae, and Figures 24B and 24C show how the device performs in compression and distraction, respectively. Since devices attached to both the upper and lower vertebrae need not automatically assume a memorized shape, alternative materials may preferably be used, including biocompatible rubbers and other pliable membranes. It is important that the flexible member not be too redundant or stretched so as to compress the nerve, as shown in Figure 25. Figure 26 illustrates an alternative Z-shaped installation configuration.

[0034] As an alternative to inherently flexible materials which occlude a defect while accommodating compression and distraction, interleaving members may alternatively be used, as shown in Figures 27-28. Figure 27A is a view from an oblique perspective, showing how upper and lower plate 2702 and 2704 of any suitable shape, may be held together with springs 2706, or other resilient material, between which there is supported interleaving tines 2708. As better seen in Figure 27B, the springs 2706 allow the upper and lower plates 2702 and 2704 to move toward and away from one another, but at all times, tines 2708 remain interleaving, thereby serving to block a defect.

[0035] Figures 28A and 28B illustrate the way in which interleaving members or tines are preferably inserted directly to vertebrae. Since each member overlaps with the next, such tines are preferably installed from front to back (or back to front, as the case may be), utilizing a tool such as 2810, as shown in Figure 28B. The instrument 2810 forces each tack into one vertebrae at a time by distracting against the other vertebrae, thereby applying pressure as the jaws are forced apart, driving the tack into the appropriate vertebrae. The tack may be held into place on the instrument by a friction fit, and may include a barbed end so as not to pull out following insertion.

[0036] As a further alternative configuration, a collapsed bag may be placed into the disc space, then filled with a gas, liquid or gel once in position. The bag may be empty, or may contain a stent or expanding shape to assist with formation. In the case of a gel, silicon may be introduced so as to polymerized or solidify. As shown in Figures 29A and 29B, the use of a non-contained silicon vessel may be used, but, under distraction, may remain in contact with the vertebrae, thereby increasing the likelihood of a reaction to silicone. The invention therefore preferably utilizes a contain structure in the case of a silicon filler, as shown in Figure 30A, such that, upon distraction, the vessel remains essentially the same shape, thereby minimizing vertebral contact.

[0037] It is noted that, depending upon the configuration, that the invention may make use of a bioabsorbable materials, that is, materials which dissolve in the body after a predetermined period of time. For example, if darts such as those shown in Figures 16 and 17 are used, they may bioabsorb following sufficient time for the in-growth of recipient tissue sufficient to occlude the defect independently. Any of the other configurations described herein which might not require certain components in time may also take advantage of bioabsorbable materials. Furthermore, although the invention has been described in relation to preventing the release of natural disc materials, the invention may also be used to retain bone graft for fusion; therapeutic materials including cultured disc cells, glycosaminoglycans, and so forth; and artificial disc replacement materials.

Claims

1. A device (202) for preventing the escape of natural, artificial, or therapeutic material through a defective region (204) in an annulus fibrosis of a spinal disc, and for preventing disc herniation,
 - said device (202) having a first physical extent facilitating introduction of the device relative to the defective region (204) in the annulus fibrosis, and
 - a predetermined second physical extent forming a final shape of the device (202), different from the first, **characterised in that** the device (202) is composed of material that naturally returns to the predetermined second physical extent and which functions to occlude the defective region (204) by expanding from the first physical extent to the second physical extent,
 - wherein no further steps are required to form the predetermined second physical extent.
2. A device (202) according to claim 1, wherein:
 - the device (202) is composed of flexible or compressible material;
 - the first physical extent is achieved by compacting the device (202); and
 - the second physical extent is achieved through expansion of the device (202).

3. A device (202) according to claim 2, wherein the device (202) includes a flexible screen or patch.
4. A device (202) according to claim 1, further including one or more anchors (402) to hold the device (202) in place relative to the defective region (204).
5. A device (202) according to claim 1, wherein:
the device (202) comprises a liquid or gel which solidifies to achieve the predetermined second physical extent.
6. A device (202) according to claim 5, including a hydrogel or elastomer.
7. A device (202) according to claim 1, wherein
the first physical extent is achieved by straightening the device (202) for introduction; and
the second physical extent is achieved as the device (202) returns to the predetermined shape.
8. A device (202) according to claim 1, including a plurality of devices which function collectively to achieve the second physical extent.
9. The device (202) according to claim 8, wherein the devices are introduced separately.
10. A device (202) according to claim 1, wherein the device occludes the defective region (204) while allowing compression and distraction of the disc with respect to normal spinal movement.
11. A device (202) according to claim 1, wherein the device (202) incorporates a radio-opaque contrast material.
12. A device (708) according to claim 1, wherein the device (708) is retained in notches (700) in upper and lower vertebrae.

Patentansprüche

1. Vorrichtung (202) zum Verhindern des Austretens von natürlichem, künstlichem oder therapeutischem Material durch einen defekten Bereich (204) in einem *Annulus fibrosis* einer Bandscheibe und zum Verhindern eines Bandscheibenvorfalles,
wobei die Vorrichtung (202) eine erste körperliche Erstreckung aufweist, die das Einführen der Vorrichtung in Bezug auf den defekten Bereich (204) in dem *Annulus fibrosis* erleichtert, und
eine vorgegebene zweite körperliche Erstreckung, die eine endgültige Form der Vorrichtung (202) bildet und sich von der ersten unterscheidet, **dadurch gekennzeichnet, dass** die Vorrichtung (202) aus Material zusammengesetzt ist, das von Natur aus zu der vorgegebenen zweiten körperlichen Erstreckung zurückkehrt und das dazu dient, den defekten Bereich (204) durch Ausdehnen von der ersten körperlichen Erstreckung zu der zweiten körperlichen Erstreckung zu verschließen,
wobei keine weiteren Schritte erforderlich sind, um die vorgegebene zweite körperliche Erstreckung zu bilden.
2. Vorrichtung (202) gemäß Anspruch 1, wobei
die Vorrichtung (202) aus flexiblem oder zusammendrückbarem Material zusammengesetzt ist;
die erste körperliche Erstreckung durch Zusammenpressen der Vorrichtung (202) erreicht wird; und
die zweite körperliche Erstreckung durch Ausdehnen der Vorrichtung (202) erreicht wird.
3. Vorrichtung (202) gemäß Anspruch 2, wobei die Vorrichtung (202) ein flexibles Gitter oder Füllstück umfasst.
4. Vorrichtung (202) gemäß Anspruch 1, weiterhin umfassend einen oder mehrere Anker (402), um die Vorrichtung (202) in Bezug auf den defekten Bereich (204) festzuhalten.
5. Vorrichtung (202) gemäß Anspruch 1, wobei:
die Vorrichtung (202) eine Flüssigkeit oder ein Gel umfasst, die/das sich verfestigt, um die vorgegebene zweite körperliche Erstreckung zu erreichen.

6. Vorrichtung (202) gemäß Anspruch 5, umfassend ein Hydrogel oder Elastomer.
7. Vorrichtung (202) gemäß Anspruch 1, wobei die erste körperliche Erstreckung durch Geraderichten der Vorrichtung (202) zum Einführen erreicht wird; und wobei die zweite körperliche Erstreckung erreicht wird, wenn die Vorrichtung (202) zu der vorgegebenen Gestalt zurückkehrt.
8. Vorrichtung (202) gemäß Anspruch 1, umfassend eine Mehrzahl von Einrichtungen, die kollektiv dazu dienen, um die zweite körperliche Erstreckung zu erreichen.
9. Vorrichtung (202) gemäß Anspruch 8, wobei die Einrichtungen gesondert eingeführt werden.
10. Vorrichtung (202) gemäß Anspruch 1, wobei die Vorrichtung den defekten Bereich (204) verschließt, während sie Kompression und Distraction der Bandscheibe mit Hinblick auf eine normale Wirbelsäulenbewegung zulässt.
11. Vorrichtung (202) gemäß Anspruch 1, wobei die Vorrichtung (202) ein röntgenstrahlenundurchlässiges Kontrastmaterial beinhaltet.
12. Vorrichtung (708) gemäß Anspruch 1, wobei die Vorrichtung (708) in Kerben (700) in oberen und unteren Wirbeln festgehalten wird.

Revendications

1. Dispositif (202) permettant d'empêcher la fuite d'un matériau naturel, artificiel ou thérapeutique issue d'une région défectueuse (204) dans un anneau fibreux périphérique d'un disque spinal, et pour empêcher les hernies discales :

ledit dispositif (202) étant doté d'une première longueur physique facilitant l'introduction du dispositif par rapport à la région défectueuse (204) dans l'anneau fibreux, et

une seconde longueur physique prédéterminée formant une forme définitive du dispositif (202), différente de la première, **caractérisé en ce que** le dispositif (202) est composé de matériau qui revient naturellement à la seconde longueur physique prédéterminée et qui fonctionne pour boucher la région défectueuse (204) en se dilatant de la première longueur physique à la seconde longueur physique,

dans lequel aucune étape supplémentaire n'est requise pour former la seconde longueur physique prédéterminée.
2. Dispositif (202) selon la revendication 1, dans lequel :

le dispositif (202) est composé de matériau flexible ou compressible ;

la première longueur physique est obtenue en compactant le dispositif (202) ; et

la seconde longueur physique est obtenue grâce à la dilatation du dispositif (202).
3. Dispositif (202) selon la revendication 2, dans lequel le dispositif (202) comprend un écran ou pièce flexible.
4. Dispositif (202) selon la revendication 1, comprenant en outre un ou plusieurs ancrages (402) pour maintenir le dispositif (202) en place par rapport à la région défectueuse (204).
5. Dispositif (202) selon la revendication 1, dans lequel :

le dispositif (202) comprend un liquide ou gel qui se solidifie pour obtenir la seconde longueur physique prédéterminée.

6. Dispositif (202) selon la revendication 5, comprenant un hydrogel ou élastomère.
7. Dispositif (202) selon la revendication 1, dans lequel la première longueur physique est obtenue en redressant le dispositif (202) pour l'introduction ; et
la seconde longueur physique est obtenue lorsque le dispositif (202) revient à la forme prédéterminée.
8. Dispositif (202) selon la revendication 1, comprenant une pluralité de dispositifs qui fonctionnent collectivement pour obtenir la seconde longueur physique.
9. Dispositif (202) selon la revendication 8, dans lequel les dispositifs sont introduits séparément.
10. Dispositif (202) selon la revendication 1, dans lequel le dispositif bouche la région défectueuse (204) tout en permettant la compression et la distraction du disque par rapport au mouvement spinal normal.
11. Dispositif (202) selon la revendication 1, dans lequel le dispositif (202) comprend un matériau contrastant radio-opaque.
12. Dispositif (708) selon la revendication 1, dans lequel le dispositif (708) est retenu dans des encoches (700) dans les vertèbres supérieures et inférieures.

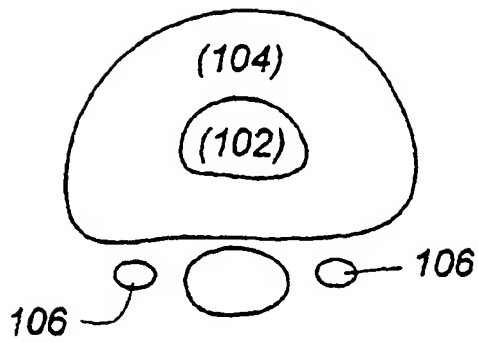


Figure - 1A

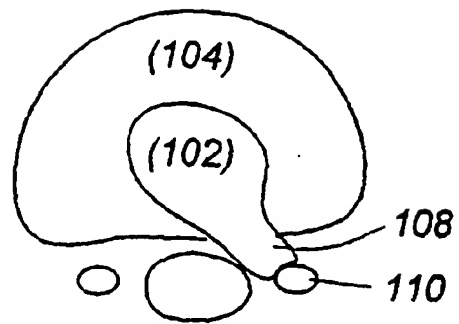


Figure - 1B

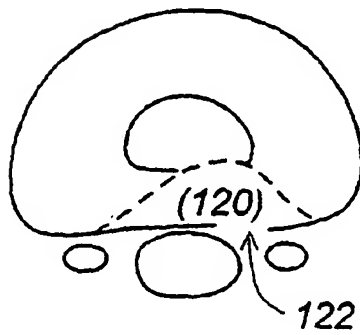


Figure - 1C

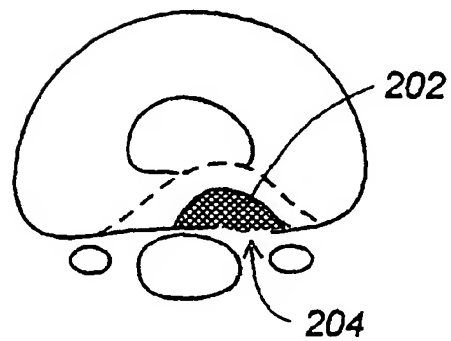


Figure - 2



Figure - 3A

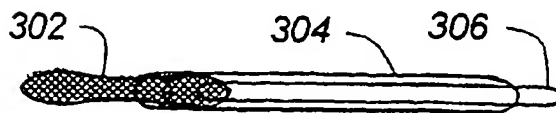


Figure - 3B

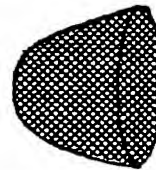


Figure - 3C

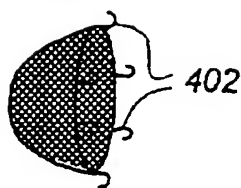


Figure - 4A

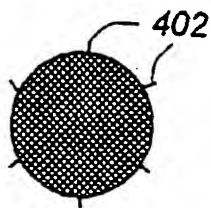


Figure - 4B

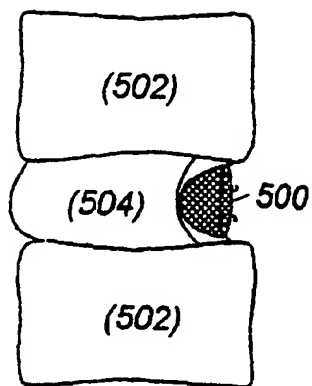


Figure - 5

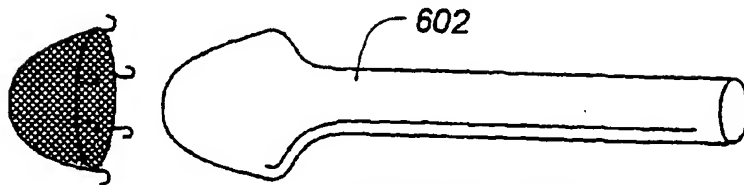


Figure - 6A

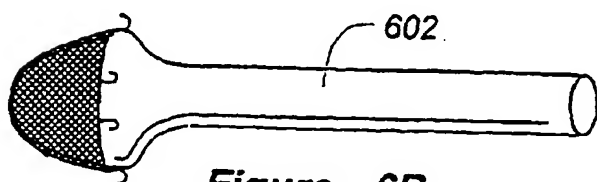


Figure - 6B

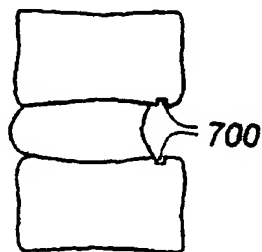


Figure - 7A

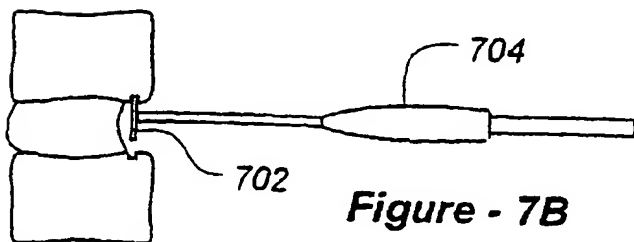


Figure - 7B

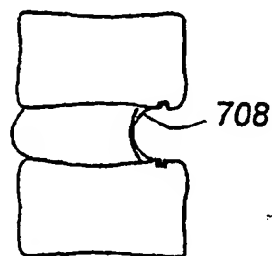


Figure - 7C

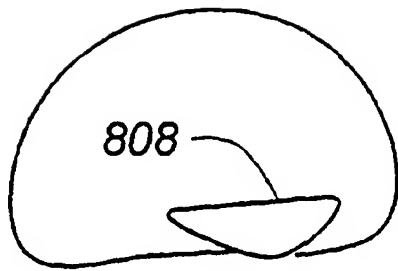


Figure - 8

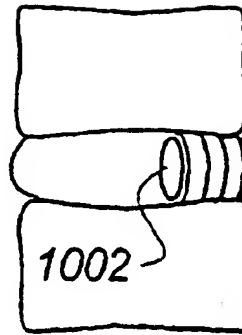


Figure - 10

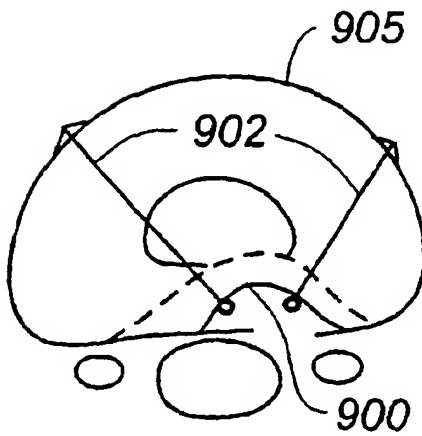


Figure - 9A

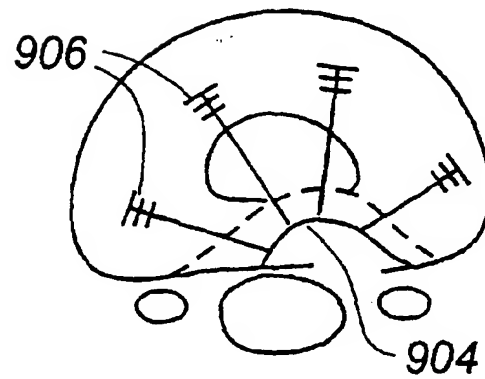


Figure - 9B

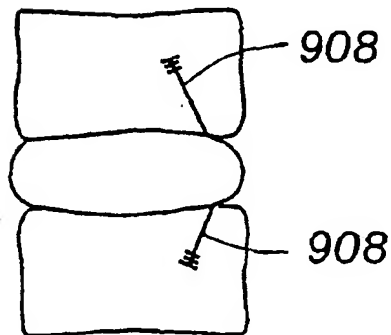


Figure - 9C

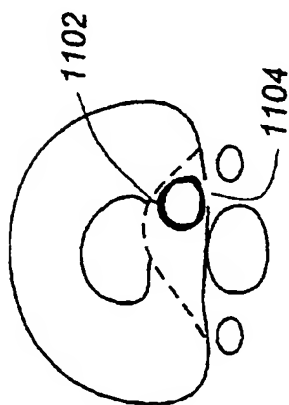


Figure - 11A

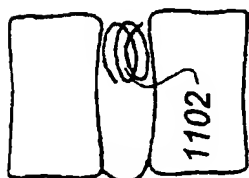


Figure - 11B

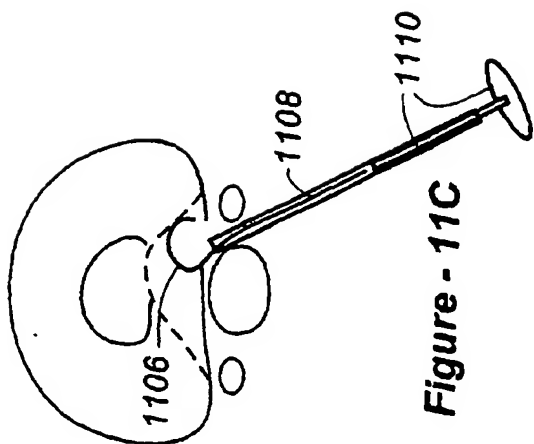


Figure - 11C

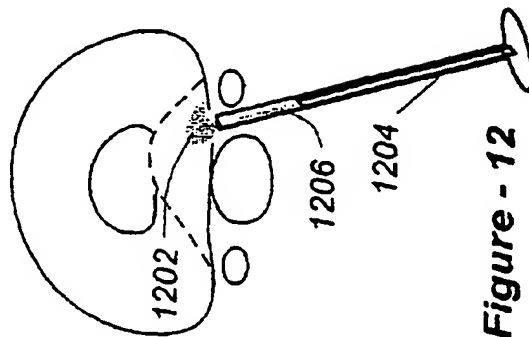


Figure - 12

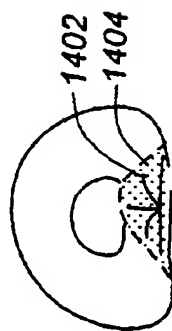


Figure - 14A



Figure - 14B



Figure - 13A



Figure - 13B

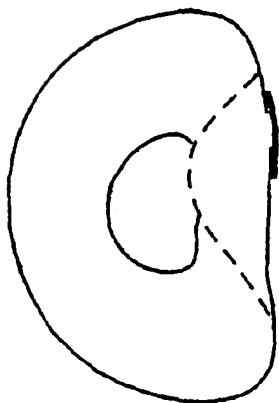


Figure - 15A

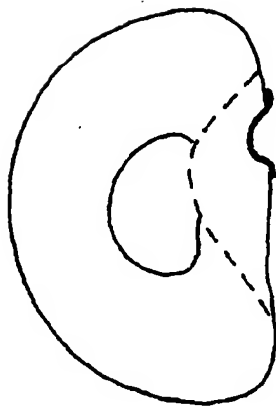


Figure - 15B

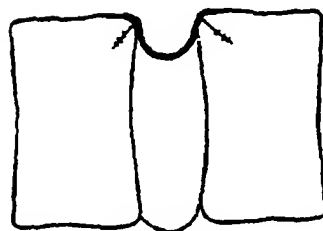


Figure - 15D

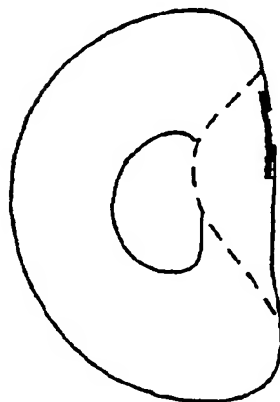


Figure - 15E

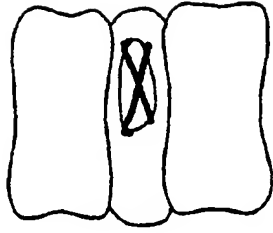


Fig - 17B

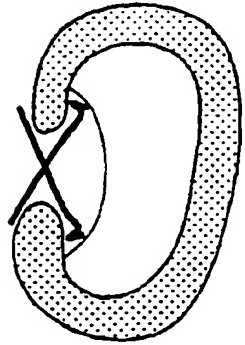


Fig - 17A

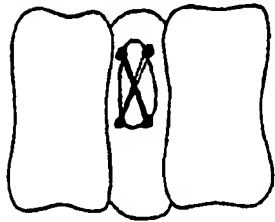


Fig - 16B

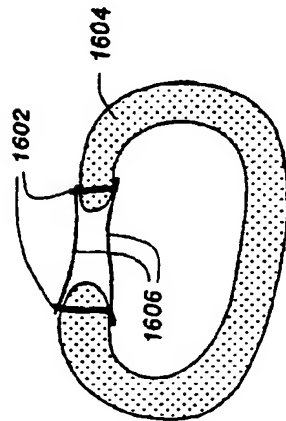


Fig - 16A

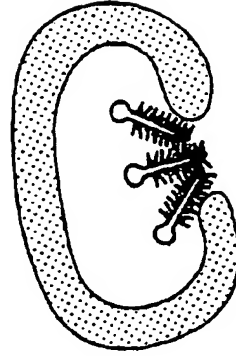


Fig - 18D

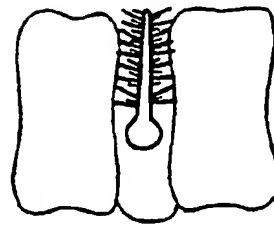


Fig - 18C

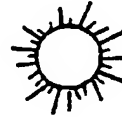


Fig - 18B

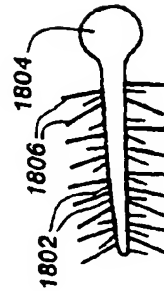
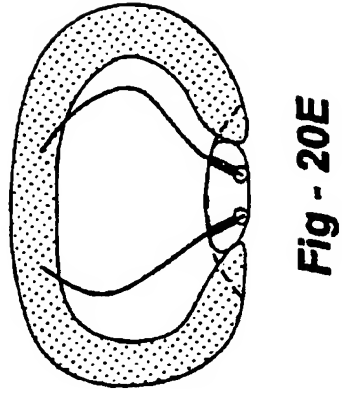
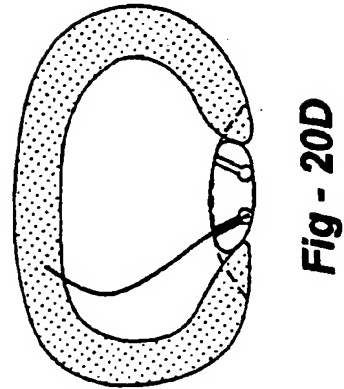
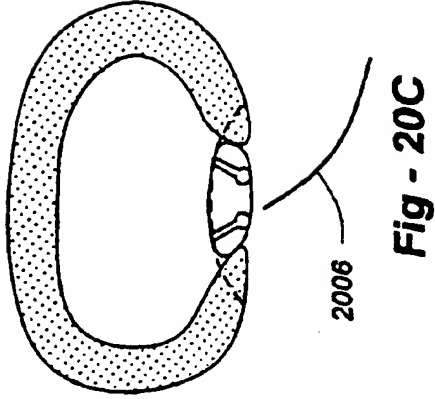
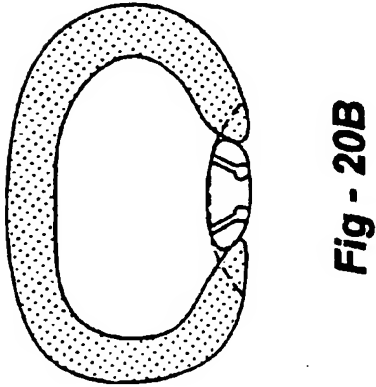
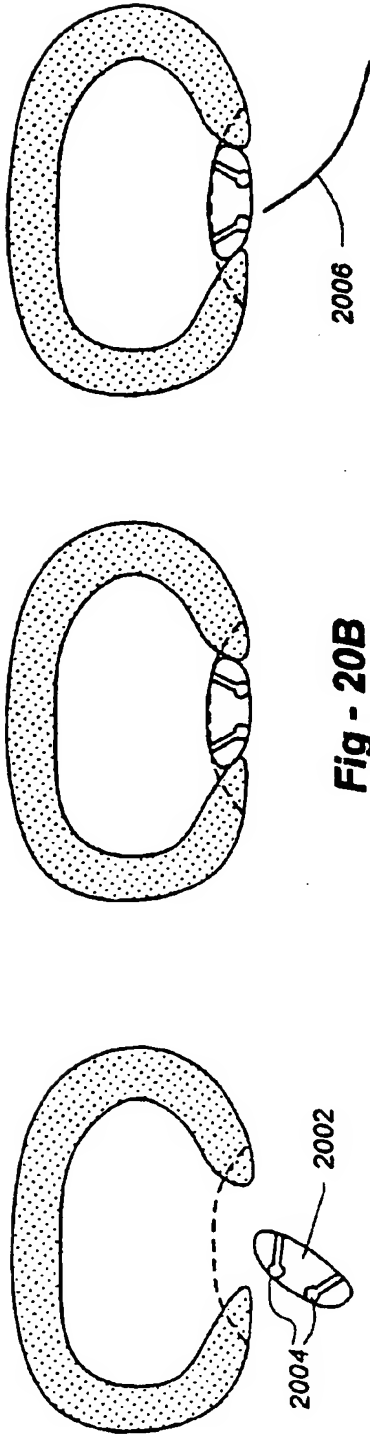


Fig - 18A



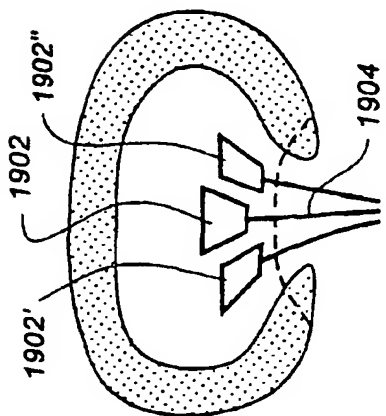


Fig - 19A

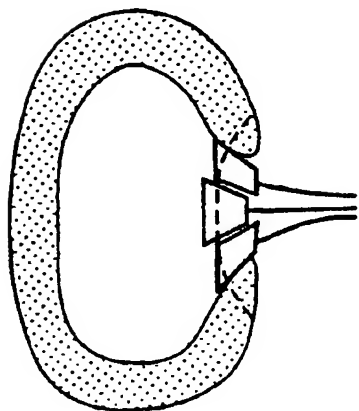


Fig - 19B

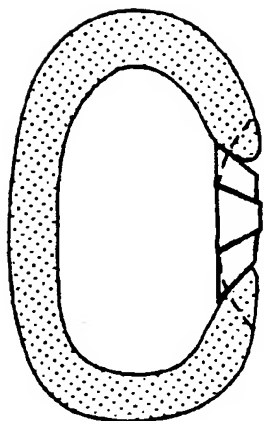


Fig - 19C

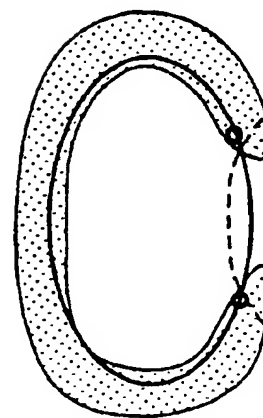


Fig - 21A

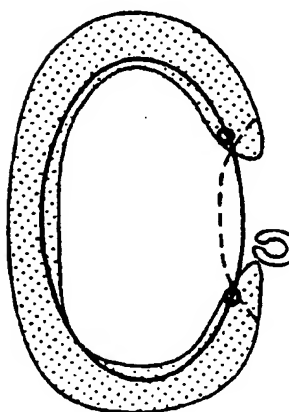


Fig - 21B

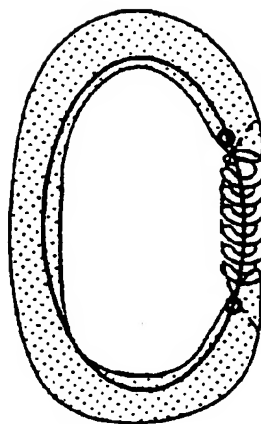


Fig - 21C

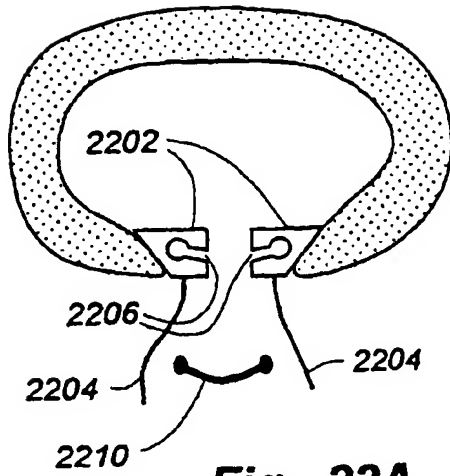


Fig - 22A

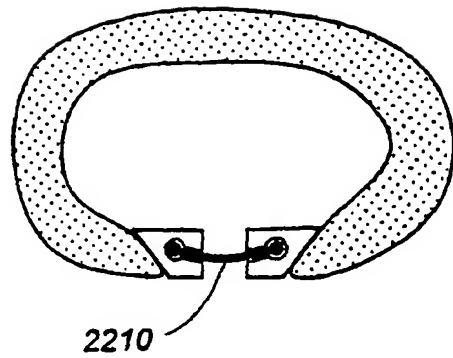


Fig - 22B

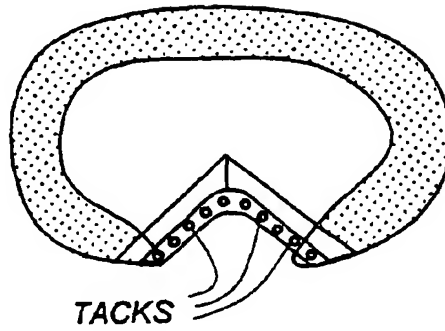


Fig - 23D

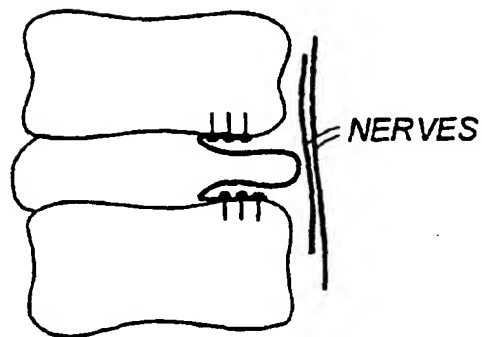


Fig - 25

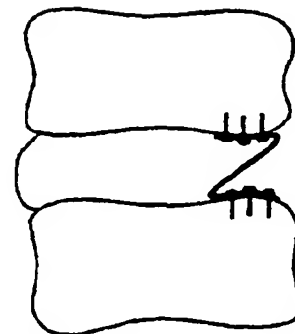


Fig - 26

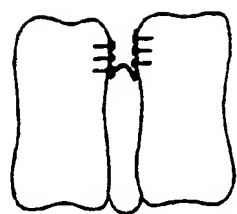


Fig - 24B

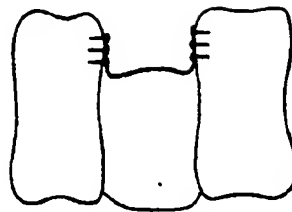


Fig - 24C

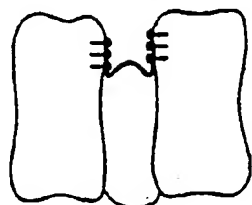


Fig - 24A

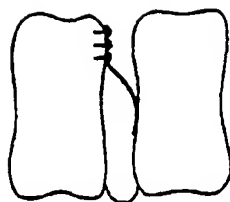
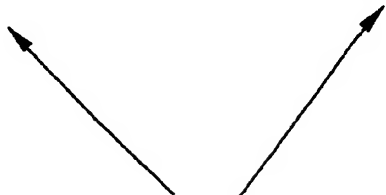


Fig - 23B

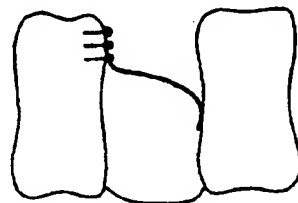


Fig - 23C

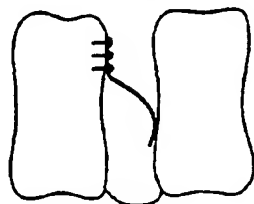
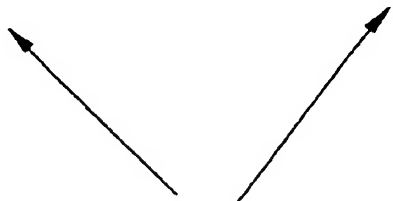


Fig - 23A



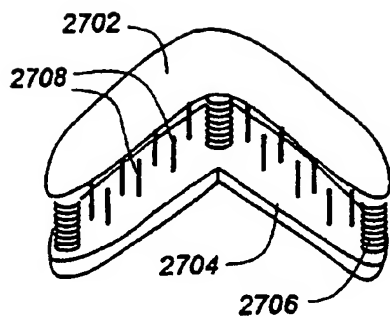


Fig - 27A

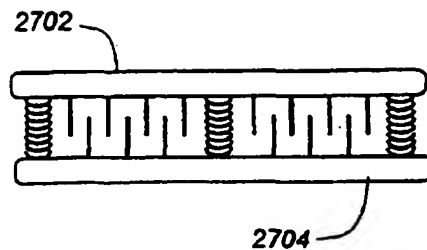


Fig - 27B

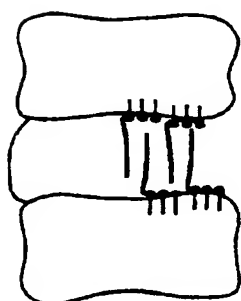


Fig - 28A

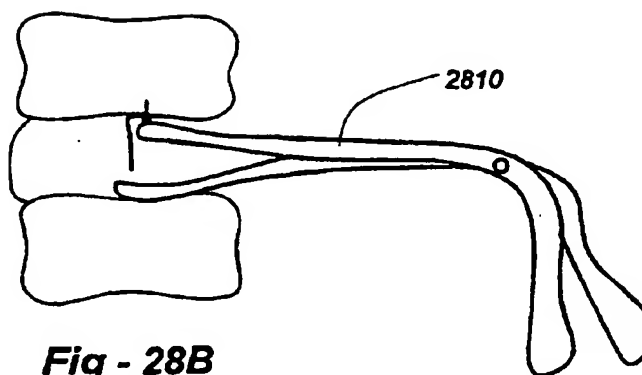


Fig - 28B

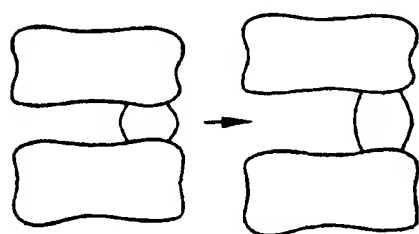
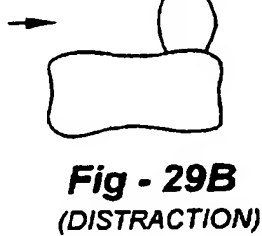


Fig - 29A



**Fig - 29B
(DISTRACTION)**

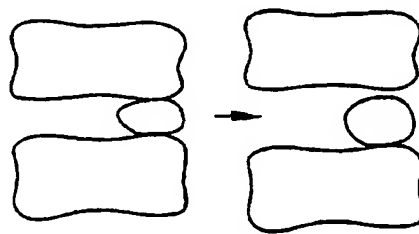
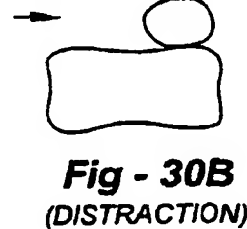


Fig - 30A



**Fig - 30B
(DISTRACTION)**